

 TradingHub

LONDON 2025

RegHub Summit

Uniting Minds.
Securing Markets.



Wednesday 18 June



The Lookout
Level 50, 8 Bishopsgate
London EC2N 4BQ



www.linkedin.com/company/tradinghub



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RegHub Summit

AGENDA

- 08:30 **Registration**
- 09:00 **Welcome Address**
- 09:05-09:35 **Keynote & Fireside Chat with CFTC Commissioner Kristin N. Johnson**
- **Commissioner Kristin N. Johnson**, CFTC
 - **Neil Walker**, Executive Chair, TradingHub
- 09:35-10:30 **The Application of AI at TradingHub - Our Thinking**
- **Shayne Ganeson**, Chief Customer Officer, TradingHub
 - **Mike Coats**, Chief Executive Officer, TradingHub
- 10:30-11:00 **Break**
- 11:00-12:00 **Managing Model Risk in Trade Surveillance: Best Practices for Validation and Compliance**
- With regulators increasingly treating model risk as a principal risk, alongside traditional risks like credit and market risk, effective model validation is critical for financial institutions. This panel will explore best practices for managing model risk, focusing on the importance of a structured validation process that aligns with regulatory frameworks like the Federal Reserve's SR11-7 and the PRA's SS1/23. Experts will discuss strategies for efficient initial and ongoing model validation, helping institutions reduce costs and ensure regulatory compliance.
- **Steve Clark**, Managing Director, Global Head of ISG and MSIM Trade Surveillance, Morgan Stanley
 - **Scott Henderson**, Product Manager, UBS
 - **John Woodbridge**, Director, Market Abuse Surveillance, PwC
 - **Ed Selby**, Head of Professional Services, TradingHub
 - **Katie Rendall**, Senior Relationship Manager, TradingHub (Moderator)
- 12:00-13:30 **Lunch**
- 13:30-14:15 **Deconstruct & Detect: Turning Enforcement Cases into Actionable Surveillance**
- How do you go from a regulatory enforcement to a working control? This session breaks down TradingHub's process: dissecting enforcement cases, rebuilding the trading activity, testing whether existing controls would catch the behavior, and refining or creating new ones where they don't. We'll also cover how these cases expose data governance blind spots — and why fixing them is key to effective, ongoing surveillance.
- **Andrew Waters**, Global Head of Regulatory Affairs, TradingHub
 - **Tobi Rosenkranz**, Head of Product, TradingHub

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14:15-15:15

From Patchwork to Precision: Building a Unified Approach to Trade Surveillance and Data Governance

As regulatory scrutiny intensifies and market abuse schemes grow more sophisticated, firms can no longer afford to rely on fragmented trade surveillance systems. Historically, surveillance programs have evolved in silos—vendor solutions covering some risks, in-house builds covering others, and even ad hoc spreadsheet-based controls filling gaps. But with high-profile enforcement actions reshaping regulatory expectations, firms must now prioritize an integrated, data-driven approach to surveillance and governance. This panel will explore the operational and compliance risks of split system coverage and the hidden costs of surveillance fragmentation.

- **Chris Palmer**, Managing Director, Head of Markets Controls, J.P. Morgan
- **Robert Hammond**, Global Head of Front Office Conduct Surveillance, BNP Paribas
- **Jeffrey Thurgood**, Director, Business Controls, Bank of America
- **Tony Main**, Head of Relationship Management, TradingHub
- **Selina Navarro**, Senior Relationship Manager, TradingHub (Moderator)

15:15-15:45

Break

15:45-16:45

Regulatory Forum: Navigating the Shifting Regulatory Landscape, Global Perspectives

This panel will explore how regulatory frameworks are evolving across the UK, and the US, examining responses to geopolitical developments and the key priorities shaping the future of financial markets. With speakers representing multiple jurisdictions, the discussion will provide a truly global perspective on regulatory trends and enforcement.

- **Edward J. Dasso III**, Senior Vice President Market Regulation, NFA
- **Jamie Bell**, Head of Capital Markets; Supervision, Policy and Competition Division, FCA
- **Charlie Thornton**, Founder and President of T Cap Solutions, Former Chief of Staff and Chief Operating Officer at the CFTC
- **Andrew Waters**, Global Head of Regulatory Affairs, TradingHub (Moderator)

16:45-17:00

Closing Address

17:00-19:00

Drinks Reception