

 TradingHub

NEW YORK 2025

# RegHub Summit

**Shaping the future  
of surveillance**



Thursday, 23 October



SECOND  
849 6th Ave  
New York, NY 10001



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# RegHub Summit

## AGENDA

09:00-09:30 **Registration**

09:30-09:35 **Welcome Remarks**

09:35-10:05 **Regulatory Fireside Chat**

- **Elad Roisman**, Partner, Cravath (Former Acting Chairman of the SEC)

10:05-10:50 **Debating Market Abuse: A Case-Based Examination**

This dynamic session will simulate a real-world enforcement scenario, by both opposing perspectives being debated on a recent market manipulation case: SEBI's interim order against Jane Street. One side will present arguments supporting the view that manipulation occurred, while the other will offer a defence of the traders' and firm's actions. Designed to encourage thoughtful analysis, this session will highlight the complexity of interpreting intent, data, and regulatory expectations in surveillance investigations, with the audience having the final say.

- **Scott Armstrong**, Principal, McGovern Weems
- **Nader Raja**, Partner, Litigation, Moore and Van Allen
- **Shayne Ganeson**, Chief Customer Officer, TradingHub (Moderator)

10:50-11:30 **Break**

11:30-12:30 **Panel - From Patchwork to Precision: Building a Unified Approach to Trade Surveillance and Data Governance**

As regulatory scrutiny intensifies and market abuse schemes grow more sophisticated, firms can no longer afford to rely on fragmented trade surveillance systems. Historically, surveillance programs have evolved in silos: vendor solutions covering some risks, in-house builds covering others, and even ad hoc spreadsheet-based controls filling gaps. But with high-profile enforcement actions reshaping regulatory expectations, firms must now prioritize an integrated, data-driven approach to surveillance and governance. This panel will explore the operational and compliance risks of split system coverage and the hidden costs of surveillance fragmentation.

- **Christopher Smith**, Director - Global Head of Trade and Control Room Surveillance, Barclays
- **Alexander Posharow**, US Head of Surveillance, HSBC
- **Boris Zeldin**, US Chief Compliance Officer, LMR Partners
- **Tony Main**, Head of Relationship Management, TradingHub
- **Selina Navarro**, Senior Relationship Manager, TradingHub (Moderator)

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12:30-14:00 **Lunch**

14:00-15:00 **Panel - Exploring Cross-Product Abuse Beyond Fixed Income**

Regulatory enforcement in fixed income markets has been a consistent theme in recent years, with multiple high-profile cases of cross-product market manipulation. However, there have also been cases involving cross-product abuse in FX, commodities, and most recently in equities. This session will explore how market participants can adapt surveillance strategies, data capabilities, and governance frameworks to identify misconduct across asset classes and stay ahead of evolving enforcement trends.

- **Jon Silverman**, Executive Director, Morgan Stanley
- **Colin Telmer**, Global Head of Trade Surveillance, Scotiabank
- **Tobi Rosenkranz**, Chief Product Officer, TradingHub
- **Katie Rendall**, Senior Relationship Manager, TradingHub (Moderator)
- More speakers to be announced

15:00-15:30 **Break**

15:30-16:15 **Regulatory Forum: Navigating the Shifting Regulatory Landscape, Global Perspectives**

This panel will explore how regulatory frameworks are evolving across the US, examining responses to geopolitical developments and the key priorities shaping the future of financial markets. With speakers representing multiple jurisdictions, the discussion will provide a truly global perspective on regulatory trends and enforcement.

- **Kathleen Clapper**, Managing Director, Futures Compliance, NFA
- **Gurbir Grewal**, Partner, Milbank LLP (Former Director of Enforcement, SEC)
- **Andrew Waters**, Head of Partnerships and Regulatory Strategy, TradingHub (Moderator)
- More speakers to be announced

16:15-17:15 **TradingHub Presentation**

- **Mike Coats**, Chief Executive Officer, TradingHub
- **Andrew Waters**, Head of Partnerships and Regulatory Strategy, TradingHub

17:15-17:30 **Closing Remarks**

17:30-19:00 **Drinks reception**